

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**THIS PAGE BLANK (USPTO)**

PCT

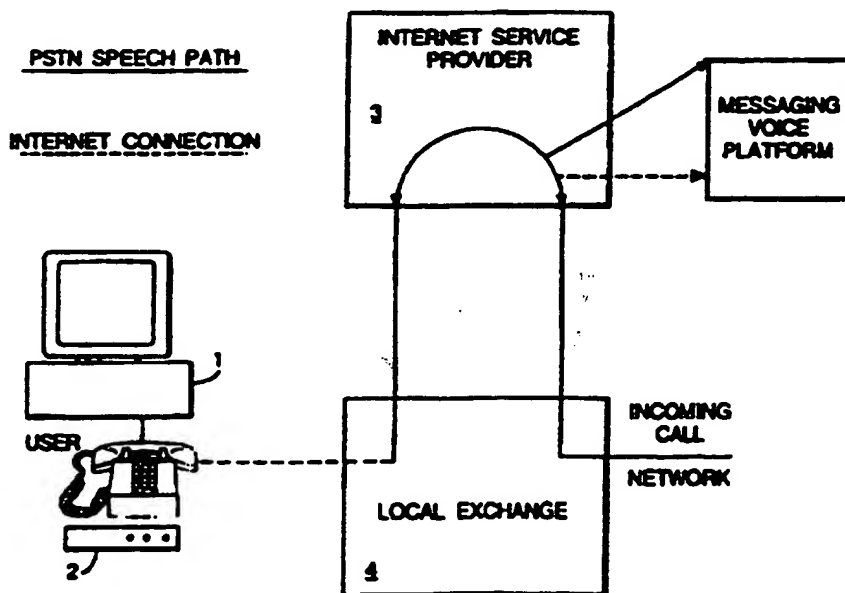
WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : H04M 3/42, H04L 29/06		A1	(11) International Publication Number: WO 98/01985
			(43) International Publication Date: 15 January 1998 (15.01.98)
(21) International Application Number: PCT/GB97/01700		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 25 June 1997 (25.06.97)			
(30) Priority Data: 9613951.4 3 July 1996 (03.07.96) GB			
(71) Applicant (for all designated States except US): BRITISH TELECOMMUNICATIONS PUBLIC LIMITED COMPANY (GB/GB); 81 Newgate Street, London EC1A 7AJ (GB).			
(72) Inventors; and (75) Inventors/Applicants (for US only): BALE, Melvyn, Christopher (GB/GB); 23 Digby Road, Ipswich, Suffolk IP4 3NB (GB). HARTLEY, Ian (GB/GB); 19 Coulson Close, Chadwell Heath, Essex RM8 1TY (GB).			
(74) Agent: WELLS, David; BT Group Legal Services, Intellectual Property Dept., 8th floor, 120 Holborn, London EC1N 2TE (GB).		Published With international search report.	

(54) Title: CALL WAITING SERVICE IN A TELECOMMUNICATIONS NETWORK



(57) Abstract

A method of operating a telecommunications network includes establishing a dial-up connection between a user data terminal and a data services provider and transmitting a data channel over the dial-up connection. When a call is made to the user by a third party, a call waiting signal is transmitted on the data channel to the user. The network may divert calls to the data services provider and the call waiting signal may be generated at the data services provider in response to the receipt of the call from the third party.

**THIS PAGE BLANK (USPTO)**

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SE	Sweden
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TO	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	NL	Netherlands	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon	KR	Republic of Korea	PL	Poland		
CN	China	KZ	Kazakhstan	PT	Portugal		
CU	Cuba	LC	Saint Lucia	RO	Romania		
CZ	Czech Republic	LI	Liechtenstein	RU	Russian Federation		
DE	Germany	LK	Sri Lanka	SD	Sudan		
DK	Denmark	LR	Liberia	SE	Sweden		
EE	Estonia			SG	Singapore		

## CALL WAITING SERVICE IN A TELECOMMUNICATIONS NETWORK

The present invention relates to the provision of call-waiting services on a telecommunications network.

- 5 Call-waiting services have been developed and deployed on public switched telephone networks (PSTNs) to provide the option of receiving a call from a third party during the course of an existing call. If the user has subscribed to a call-waiting service, then the network responds to the call from the third party by alerting the user to the fact that there is another caller waiting to contact them.
- 10 Typically this is done by transmitting a distinctive in-band analogue tone from the network to the user. The user then has the option of interrupting the first call and speaking to the other caller.

- It is increasingly common for PSTNs to be used for dial-up data connections. For example, a personal computer and a modem might be used as a
- 15 data terminal to establish a dial-up connection with an on-line service or an Internet services provider (ISP). However, it has been found that there are serious technical problems with the interaction between conventional call-waiting services and dial-up data connections. The data connection is generally carried over a digital signalling channel in the form of frequency-shift keyed or phase-shift keyed
- 20 tones transmitted to/from the modem. If a conventional call-waiting service transmits its own analogue tone to the user while the data connection is on-going, then this tone corrupts the signalling channel and will usually cause the connection between the user and the data services provider to be lost.

- US-A-4995074 describes the problems outlined above. This patent
- 25 proposes a solution which involves placing a second computing system (termed "the interface"), comprising a microprocessor, memory, registers and analogue telephony circuitry, between the telephone network and the modem. This system then intercepts incoming call waiting signals, and communicates control signals between the network and the personal computer. In this way the system avoids
- 30 disruption of the modem connection by the call waiting signal, and is able to offer the user choices as to how an incoming call is handled. However, since this solution relies upon the introduction of the second computing system at the customer premises, it is expensive and has not found commercial acceptance.

According to a first aspect of the present invention, there is provided a method of operating a telecommunications network comprising:

- (a) establishing a dial-up connection via the telecommunications network between a user data terminal and a data services provider;
- 5 (b) transmitting a data channel over the dial-up connection; and
- (c) transmitting a call waiting signal on the data channel to the user when a call is made to the user by a third party.

The present invention provides a method of operating a telecommunications network which not only avoids the disruption of data  
10 connections associated with conventional call-waiting services, but also makes the call-waiting functionality available to users while their call to a data service provider is in progress. This is achieved by transmitting the call-waiting signal on the channel used for the data connection. The call-waiting signal is in this way integrated with the data connection, instead of disrupting it. Moreover, the signal  
15 is in a form which facilitates automatic handling of the response to the signal by programs running at the user data terminal and/or at the data services provider. Once the call-waiting signal has reached the user, then, as with the conventional call-waiting services, the user can choose to continue with the existing call, or may interrupt the call to speak to the new caller. By contrast with prior art systems,  
20 the invention requires no additional hardware at the customer premises, nor does it require any modification to the modem.

Preferably, step (c) includes:

- (i) diverting to the data services provider calls which are intended for the user; and
- 25 (ii) generating at the data services provider, in response to the receipt of an incoming third party call, the call waiting signal for transmission to the user.

It is found that a particularly effective approach to implementing the invention takes advantage of the existing call diversion functionality available on most exchanges. Once the user has established the dial-up connection with the  
30 data services provider, other calls for the user are diverted to the data services provider which can then generate an appropriate call waiting alert for transmission over the data channel to the user.

The method may optionally include a step, subsequent to the transmission of the call-waiting signal, of interrupting the connection with the data services provider and establishing an in-band connection between the user and the third party. In this case preferably the data services provider is arranged to suspend any on-going data transaction with the user data terminal, and to resume the data transaction when the connection with the data services provider is re-established after the completion of the call from the third party.

Alternatively or in addition, the method may include establishing a voice connection between the third party and the user data terminal over the data channel.

Preferably the method includes generating at the data terminal, in response to receipt of the call waiting signal, a message alerting the user to the third party call. Advantageously, the handling at the data terminal of the call waiting signal uses the user interface of the data terminal both to display an "alert" message, which may include the calling line identity (CLI), and also to offer the user options for how the call is to be handled. The data terminal may then generate and return via the data channel to the data services provider a signal identifying the selected option.

According to a second aspect of the present invention, there is provided a telecommunications network arranged to provide a dial-up connection between a user data terminal and a data services provider, in use a data channel being transmitted over the dial-up connection, characterised by means for transmitting a call-waiting signal on the data channel to the data terminal in response to a call from a third party.

According to a further aspect of the present invention, there is provided a method of operating a call waiting system comprising:

- (a) receiving from a telecommunications network a signal indicating a call has been made by a third party to a user, the said user having a current dial-up connection between a user data terminal and a data services provider; and
- (b) in response to the said signal generating a call waiting signal and transmitting the said call waiting signal on the data channel to the user.

The call waiting system may form part of a data server at the data services provider. Alternatively it may comprise a computer dedicated to this task,

and may be located remotely from the data services provider. In this case it may output the call waiting signal, e.g. onto the internet, addressed to the user, for transmission via the data services provider.

The invention also encompasses data terminals and data servers  
5 configured for use with the network of the second aspect.

Systems embodying the present invention will now be described in further detail, by way of example only, with reference to the accompanying drawings in which:

Figure 1 is a schematic of a first system;

10 Figure 2 is a diagram showing in further detail the hardware architecture of the system of Figure 1;

Figure 3 is a diagram showing a software architecture for the system of Figure 1:

Figure 4 is a schematic of a second system embodying the present  
15 invention;

Figures 5 to 13 are message sequence diagrams showing message flows in the operation of the system of Figure 1; and

Figure 14 illustrates a display on the user data terminal of Figure 1.

20 As shown in Figure 1, a data terminal 1, which in this example is a personal computer 1 and modem 2, is connected to the server 3 of an internet services provider (ISP). The connection is made over the local exchange 4 of the public switched telephone network (PSTN). A data channel between the ISP and the data terminal 1 is carried on the PSTN lines by modulated FSK (Frequency-  
25 Shift-Keyed) tones. These FSK tones are generated and de-modulated by the modem 2 in a conventional manner. In this example, the data channel between the data terminal 1 and the server 3 is an internet connection using TCP/IP protocols.

Conventionally, if a call is made to the user of the data terminal while the  
30 terminal is connected to the ISP, then the caller will hear an engaged tone, or may be diverted to a messaging service such as BT's CallMinder. In either case, the user is unable to receive the call while connected to the ISP. As discussed in the introduction above, a conventional PSTN call waiting service cannot be used, since

the transmission of an alert tone from the network to the user would disrupt the data channel. Systems embodying the present invention overcome these limitations by using the data channel between the ISP and the user to transmit a call waiting signal.

5 In the example of Figure 1, the call waiting function is controlled by the ISP. When the user first establishes the dial up connection with the ISP, the ISP requests from the local exchange a "divert on busy/divert all" service. This request may be communicated using BT NUP signalling. In response to this request, the local exchange diverts all incoming calls for the user to the ISP. The  
10 calling line identity (CLI) is passed with any incoming call. On receiving a call, the ISP sends a call waiting alert and the CLI to the user via the data connection between the server and the user's data terminal. In this example, as further described below, the call waiting alert takes the form of data conforming to the user datagram protocol (UDP). This is interpreted by client software running on  
15 the personal computer and may, for example, trigger a pop-up window to appear on the PC screen. In the example illustrated in Figure 14, a dialogue box 141 is superimposed on the window for the current program, a web browser. This dialogue box alerts the user to the presence of an incoming call and displays the CLI, and also provides options for handling the call. The options are selected using  
20 buttons 142, 143, 144. The client software running on the PC transmits back to the ISP a message indicating the user's selected option. In the present example, three options are provided:

1. Ignore the request ("REJECT"). In this case the ISP rejects the call or terminates the call on behalf of the user. The ISP optionally may terminate the  
25 call on a voice message platform or intelligent peripheral (IP). The connection to the voice message platform or IP may use for example, using PSTN speech paths. Alternatively, the connection to the voice message platform may be an internet connection using packetised speech. When the call waiting service is first established the default behaviour is agreed between the user and the ISP.
- 30 2. Accept call via ISP ("OK-IPHONE"). In this instance the call is routed via the ISP without the user having to drop the internet connection. The PSTN call is terminated at the ISP and the remaining leg of the call (ISP to user) is done over the TCP/IP data connection. The ISP converts the PSTN speech into packetised

speech for transmission over the data connection. Bandwidth for speech on the data connection is provided by limiting the amount of non-speech data which is transmitted or received.

3. Accept the call/drop the modem carrier ("OK-SUSPEND"). In this instance, the connection to the ISP is still maintained but the data/internet session is suspended. The session context is maintained. The PSTN call is then routed through the ISP to the user as a normal speech call. Once the incoming call has finished, the user signals to the ISP that the internet connection is to be re-established. This may be done, for example, using in-band tones.

10 In this first example, call termination or forwarding is carried out entirely by ISP. The connection between the user and the ISP is maintained at all times. Figure 4 illustrates an alternative approach, in which incoming calls may be dropped back to the exchange. Although, as in the first example, calls are initially diverted to the ISP, if, following the transmission of a call waiting alert from the  
15 ISP to the user, the user elects to drop the modem carrier and receive the call as a normal speech call, then the call is dropped back to the exchange for routing to the user. This may be implemented using a *diversion override* procedure of the type commonly found, for example, on PBX's. In other respects, the functioning of the call waiting procedure is as in the first example.

20 An implementation of the system outlined above will now be described in further detail. Figure 2 is a schematic showing the physical architecture of the system. At the user's side, in addition to the modem connected to the user's computer, one or more conventional analogue telephones are connected to the PSTN line. The PSTN line is connected to a local exchange which, in this example,  
25 is a service switching point (SSP) in a network employing an IN architecture.

On the ISP side, a modem interfaces the ISP server to a PSTN line connecting the server to a local exchange. There is also on the ISP side a computer running the call waiting application. This may be the same computer as the internet server, or may be a dedicated machine connected to the server via a  
30 local area network.

In addition to the user and the ISP, a messaging platform, a gateway to other networks (e.g. an internet phone service), and another user are shown in the Figure.

Figure 3 shows an example of a software architecture suitable for supporting the signalling flows and modem operations required to implement the invention. In defining the software components, the term *client* is used to denote the user's terminal or personal computer, and the term *server* is used to denote the computer system providing the call delivery service. This server may in some instances be separate from the ISP.

The software at the user's computer comprises an internet telephony client 31 and a call registration and delivery client. These are both interfaced to a congestion control module 33. These higher level services are supported by a winsock stack 34 and modem control software 35.

The software on the ISP side includes a call registration and delivery server module 36 and an INAP termination server module 37. These are both associated with the call waiting application computer. A modem control module 38 is located in the computer which is connected to the ISP's modem. An internet telephony server 39 is located at a PSTN/Internet phone gateway.

Describing these components in further detail, the call registration and delivery client 32 is the component on the client which communicates with the server for registration of the call waiting application. The communication mechanism is provided by user datagram protocol (UDP) signals passing between the client and the server. The identification of ports may be pre-defined, or can be assigned on a negotiation basis between the client and the server. The interface to the UDP protocol on the client side is achieved, in a Microsoft Windows (registered trade mark) environment using a standard Windows socket (winsock) stack. This software component interacts with the modem on the client. Typically the client modem is mapped onto serial I/O ports, and so commands can be sent to the modem via this mechanism using standard operating system interfaces.

The call registration and delivery software on the server side is responsible for signalling to the user on receipt of an incoming call via the INAP termination. After signalling that the call has been received, a module either terminates the call via the data connection, sending a confirmation back via the INAP interface that the call should be routed to the user via the PSTN, or that the call should be rejected or diverted. Which of these options is implemented depends on the response from the user or on defined default behaviour. If the call is to be

terminated to the user via the PSTN, then this module is responsible for informing the ISP when the modem connection is to be suspended and when the modem connection is to be resumed. This software component also communicates with the client side call software and this is achieved using a standard winsock implementation. Communication between the INAP termination and this module can be achieved using any suitable mechanism as it is internal to the server. This module also issues commands to the ISP modem and this may use any communication mechanism (e.g. UDP) agreed between the server and the ISP software.

10 The internet telephony modules on the client and on the server make it possible to receive calls via the ISP using packetised speech over the data TCP/IP connection. The internet telephony modules both encode and decode between speech and IP packets, and provide signalling for flow control. Suitable software for the internet telephony client and server modules is available commercially as 15 WebTalk from Quaterdeck Corp., of Marina del Rey, CA, USA.

If calls are to be received over the data connection, then additional traffic over this connection needs to be minimised. This will then maximise the bandwidth and quality of the speech over the connection. To facilitate this, congestion control software may sit between the applications and the connection, 20 i.e. within or above the winsock stack, to limit the bandwidth used by other data sources. For example, if 70% of the bandwidth of the connection is required for telephony, then this module limits the data transmitted from all other applications to at most 30% of the bandwidth. In the present example this function is implemented as a layer above the winsock stack. This then ensures that all 25 applications use this interface. The congestion control software, on request from an application, limits bandwidth use based on packet destination and/or socket/port number. While the use of the congestion control module is not essential, its presence makes it possible for other applications to continue using the data connection concurrently with internet telephony over the connection.

30 The INAP termination module 37 in the server terminates INAP messages from the PSTN. It translates these into messages which can be interpreted by the server's call registration and delivery software. The INAP interface is defined to

match the INAP protocol implemented in the PSTN components and in compliance with the standard set out in ETSI Core INAP, ETS 300.374-1 1994.

The modem control software in the ISP is responsible for ensuring that the modem connected to the user does not hang up on loss of the carrier signal. It maintains the modem on-line (off-hook) whilst the data connection is in a "suspended" state. This function is required to prevent the PSTN terminating the call when, after a certain period, the terminating party (ISP) remains on-hook. The interface between the modem control software and the server call registration and delivery software is internal to the server and so only requires internal compatibility. The ISP may implement the modem control software either as a separate software component which then issues commands to the modem internally, or directly within embedded software on the modem. In either case, the interface between the ISP and the modem is achieved using standard modem commands, for example the Hayes AT command set. On receipt of a "resumed" message the modem will return to data mode and attempt to synchronise with the client modem. The modem control software is arranged so that if loss of carrier is detected other than in the suspended state, the modem will react to this in a conventional manner by trying to re-establish the connection and/or hanging up.

Table 1 details the signalling between the eight distinct processes identified above. These protocols and signalling systems identified by way of example only, and as will be apparent many alternative implementations are possible.

The following section describes with reference to the message sequence diagrams of Figures 5 to 13 an example of the message flows and behaviours in an implementation of the invention. Each subsection represents a particular state (phase) of the system and event occurring while in that state.

The signalling used in implementing the invention uses three main timers (T1, T2, T3) and a retry counter (C1). Their use is described below:

Timer T1: 'keep alive' timer. Used to cause registration messages to be sent continually to the remote end so that link failure can be detected.

Recommended value is 2 minutes. Expiry of this timer is only acted upon in the Active phase, and is ignored during other phases.

5      Timer T2: 'link failure' timer. Used to determine that a period has passed with no registration, and therefore assumes that the link has failed. Recommended value is 7 minutes. Expiry of this timer is only acted upon in the Active phase, and is ignored during other phases.

10      Timer T3: 'alerting' timer. Used to limit the amount of time a user has to respond to an incoming call indication from the ISP (in case the link has failed). Recommended value is 5 seconds. Expiry of this timer is only acted upon in the Awaiting Directions phase, and is ignored during other phases.

15      Counter C1: 'retry counter'. Used to count the number of times that a REGISTER message has been sent without a REGISTER COMPLETE message being received. The counter is tested and incremented on expiry of Timer T1, and is set to zero when a REGISTER COMPLETE message is received. The recommended limit for the counter is 3.

### Phase 1 - Initialisation

20      (Figure 5). This phase initialises the system. It begins with a modem connection being set-up between the user and ISP to allow packet data to be sent between the two. Once the data connection is established, the call waiting application on the user's terminal (denoted as 'user appl.') is started. This sends a REGISTER message to the ISP, which is routed (by way of a routing process not shown) to the call waiting application running on the ISP's equipment (denoted as 'ISP appl.').

25      On receipt of the REGISTER message, the ISP application records the registration for that user, and responds with a REGISTER COMPLETE indication including the address of the ISP application to assist the routing of future messages. The ISP application then starts a 'link failure' timer, Timer T2.

30      On receipt of the REGISTER COMPLETE indication, the user's application starts the 'keep alive' timer, Timer T1, sets the retry counter C1 to zero, and indicates to the user that the registration has been successful. The system then moves to the ACTIVE phase.

If the REGISTER COMPLETE message is not received within a predetermined time period, the user's application will inform the user of unsuccessful registration. Further attempts to register will depend on the user's requirements.

5

#### Phase 2 - Active (timer T1 expires)

(Figure 6). When Timer T1 expires, the retry counter C1 is tested against its limit value. If it is below the limit value, then the retry counter C1 is incremented and the registration procedure is repeated. As the user's application is already registered, no registration action is taken by the ISP application. Also, Timer T1 is started before the receipt of the REGISTER COMPLETE message. On receipt of a REGISTER COMPLETE message, the retry counter C1 is reset to zero. The system stays in the ACTIVE phase.

If the retry counter C1 has reached the limit, then it is assumed that the link has failed, and the user is informed. Initialisation of the system will take place if required by the user.

#### Phase 2 - Active (timer T2 expires)

(Figure 7). Expiry of Timer T2 indicates that the link has failed. The ISP application removes the registration of that user and moves to an idle state ready for initialisation.

To ensure that the user is aware of this (if possible), an ABORT message is sent to the user's application, which can be acted upon appropriately by the user's application.

25

#### Phase 2 - Active (incoming call)

(Figure 8). When an incoming PSTN call from a third party to the user is presented to the switch, an indication is sent to the ISP's application. (This assumes that the trigger for this and routing to the ISP's application has been previously set-up using the appropriate procedures). This results in an INCOMING CALL message being sent to the user's application, indicating the the number of

the third party, and Timer T3 being started. The system then moves to the Awaiting Directions phase.

**Phase 3 - Awaiting Directions (timer T3 expires)**

5 (Figure 9). If Timer T3 expires, it is assumed that a failure has occurred in the system. As a result, the switch is informed to continue the default processing of the call. The system will then return to the Active phase, using timer T2 expiry to force the service to abort if necessary.

**10 Phase 3 - Awaiting Directions (reject incoming call)**

(Figure 10). If the user wishes to reject the incoming call, a REJECT message is sent from the user's application to the ISP's application. The ISP's application will then tell the switch to deal with the incoming call appropriately. This could be by connecting the call to an internal resource to play an announcement, or by releasing the incoming call as 'busy' using the RELEASE CALL message (as shown in the figure). The system will then return to the Active phase.

**20 Phase 3 - Awaiting Directions (divert incoming call)**

(Figure 11). Rather than rejecting the incoming call, the user may decide to divert it. The call may be diverted to another user, a messaging system, or to a PSTN/Internet Phone Service gateway (which will allow the user to answer the incoming call via the Internet as packetized speech). In all of these cases, the call will be diverted by the user's application sending a DIVERT message to the ISP's application, indicating the telephone number to which the call should be diverted (ie. the number of the alternative user, messaging platform, or PSTN/Internet gateway).

On receipt of the DIVERT message, the ISP's application will instruct the switch to connect the call to the alternative number, using a CONNECT message. The system will then return to the Active phase.

### Phase 3 - Awaiting Directions (accept incoming call)

(Figure 12). If the user decides to accept the incoming call, an ACCEPT message is sent to the ISP's application, and both the user's and ISP's modem controllers are informed of this by way of a SUSPEND MODEM message. At the same time, the user is told to pick up the handset of the phone, taking the phone to an off-hook state (note that the user's phone line is already off-hook due to the modem). Once the phone is off-hook, the carrier can be lost. When no carrier is present, the user's modem is placed on-hook (ATH0), although the user's line remains off-hook since the phone is off-hook. The ISP's modem is placed on-hook, then immediately taken off-hook (ATH1). This prevents the modem from going on-hook automatically, and makes it ready to re-instate the carrier later on. This procedure may need to be repeated at the ISP's end to prevent the modem from going on-hook automatically (note, as the ISP received the call from the user, going on-hook for a few seconds will not clear the call).

The ISP's application then sets a request trigger on the switch so that it is informed when the incoming call is disconnected (using the REQUEST REPORT BCSM EVENT message), and then asks the switch to connect the incoming call to the user, using the CONNECT message. The CONNECT will initiate the PSTN switch-based call waiting service, which will cause the call waiting tone to be played to the user (via the user's phone). Using the necessary tones and line breaks (*recall*), the users can then suspend the call to the ISP and accept the incoming call. This takes the system to the In Call phase.

### 25 Phase 4 - In Call (resume suspended call)

(Figure 13). Once the incoming call has been answered, conversation can take place. At the end of this call, the call is cleared, and the call to the ISP is resumed. This sequence is initiated by the user (and calling party) placing the phone handset on-hook, which causes the users line to go into an on-hook state, and causing an EVENT REPORT BCSM message to be sent to the ISP's application, indicating that the call has been disconnected.

- The ISP's application will then tell the ISP's modem controller to resume, which then ensures that the modem is off-hook (ATH1) and on-line (ATO). At the same time, the switch is told to continue processing, via a CONTINUE message. This causes the user's line to ring, indicating the presence of the suspended call.
- 5 The user's modem controller then take the user's modem off-hook (ATH1) and puts it on-line (ATO). The modems will then re-train, and will inform the modem controllers when a data connection is established. This event is indicated to the user's and ISP's applications by a MODEM RESUMED message.

- Once the modems have resumed service, the 'keep alive' timers are
- 10 started, and the system returns to the Active phase.

- The suspend-resume protocols outlined above may also be used to allow suspension of a data session to be initiated by the user of the data terminal in order to make an outgoing call. A button for selecting this option may be displayed in a dialogue box on the terminal screen. When this option is selected, a
- 15 signal to this effect is transmitted to the ISP. The three-way calling functionality of the telephony network is then used. The user transmits a "recall" signal, and then dials the destination number of the outgoing call. When that call is completed, the modems at the terminal and at the data services provider resynchronise and the suspended data session is resumed.

Table 1

		<i>Signalling to process</i>							
		user's phone	user's appl.	user's contro l	user's mode m	switch (SSP)	ISP's mode m	ISP's contro l	ISP's appl.
<i>Signalling from process</i>	user's phone					BT PSTN			
	user's appl.								
	user's control				Hayes 'AT'				
	user's modem			Hayes 'AT'		BT PSTN			
	switch (SSP)	BT PSTN			BT PSTN		BT PSTN		INAP
	ISP's modem					BT PSTN		Hayes 'AT'	
	ISP's control						Hayes 'AT'		
	ISP's appl.					INAP			

**Key**

<i>Signalling System</i>	<i>Description</i>
*	new signalling relating to the invention
BT PSTN	BT PSTN analogue signalling and Network Services
Hayes 'AT'	Hayes 'AT' command set
INAP	ETSI Core INAP

CLAIMS

1. A method of operating a telecommunications network comprising:
  - (a) establishing a dial-up connection via the telecommunications network
  - 5 between a user data terminal and a data services provider;
  - (b) transmitting a data channel over the dial-up connection; and
  - (c) transmitting a call waiting signal on the data channel to the user when a call is made to the user by a third party.
- 10 2. A method according to claim 1, in which step (c) includes:
  - (i) diverting to a call waiting system calls which are intended for the user;
  - and
  - (ii) generating at the call waiting system, in response to the receipt of an incoming third party call, the call waiting signal for transmission to the user.
- 15 3. A method according to claim 2, in which the said call waiting system is located at the data services provider.
4. A method according to any preceding claim including a step, subsequent
- 20 to the transmission of the call-waiting signal, of interrupting the connection via the data channel with the data services provider and establishing an in-band connection between the user and the third party.
5. A method according to claim 4, in which, when the connection via the
- 25 data channel is interrupted, the data services provider suspends any ongoing data transaction, and in which the data services provider subsequently resumes the data transaction when the connection via the data channel is re-established after the completion of the call from the third party.
- 30 6. A method according to any one of the preceding claims including establishing a voice connection between the third party and the user data terminal over the data channel.

7. A method according to any one of the preceding claims including generating at the data terminal, in response to receipt of the call waiting signal, a message alerting the user to the third party call.
- 5 8. A method according to claim 7, in which the step of generating the message includes indicating a plurality of options for handling the third party call, registering via a user interface of the data terminal a selection made by the user from the plurality of options, and generating and returning via the data channel to the data services provider a signal identifying the selected option.
- 10 9. A method according to claim 7 or 8, in which the message alerting the user includes the calling line identity (CLI) of the third party.
- 15 10. A method according to any one of the preceding claims, further comprising initiating from the user data terminal suspension of a data session on the data channel,
- making an outgoing call from the user to another party, and  
on termination of the outgoing call, automatically resuming the suspended data session.
- 20 11. A method of operating a call waiting system comprising:  
(a) receiving from a telecommunications network a signal indicating a call has been made by a third party to a user, the said user having a current dial-up connection between a user data terminal and a data services provider; and  
25 (b) in response to the said signal generating a call waiting signal and transmitting the said call waiting signal on the data channel to the user.
12. A method according to claim 11, in which steps (a) and (b) are carried out at the said data services provider.
- 30 13. A method according to claim 11 or 12, in which the telecommunications networks diverts to the call waiting system any such call by a third party.

14. A telecommunications network comprising:
- (a) means for establishing a dial-up connection via the telecommunications network between a user data terminal and a data services provider;
  - (b) means for transmitting a data channel over the dial-up connection; and
  - 5 (c) means for transmitting a call waiting signal on the data channel to the user when a call is made to the user by a third party.
15. A telecommunications network according to claim 14, in which the network is arranged to divert to a call waiting system calls which are intended for
- 10 the user, and in which call waiting system includes means for generating the said call waiting signal in response to the receipt of an incoming third party call.
16. A call waiting system comprising:
- a) an input for connection to a telecommunications network;
  - 15 b) means responsive to a signal received at the said input for generating a call waiting alert for transmission to a user over a dial-up connection between the said user and a data services provider when a call is made by a third party to the user.
- 20 17. A data terminal for use in a method according to any one of claims 1 to 13, the data terminal including:
- a) a data interface which in use establishes a data channel with a data services provider via a dial-up connection;
  - b) a display device; and
  - 25 c) a call waiting signal handler connected to the data interface and arranged to process an incoming call waiting signal received on the data channel and to generate and display on the display device a call waiting alert for the user.
18. A method of operating a data terminal comprising:
- 30 a) receiving a data channel from a dial-up connection to a data services provider;
  - b) receiving on the data channel a call waiting signal; and

c) generating in response to the said call waiting signal a call waiting alert for the user.

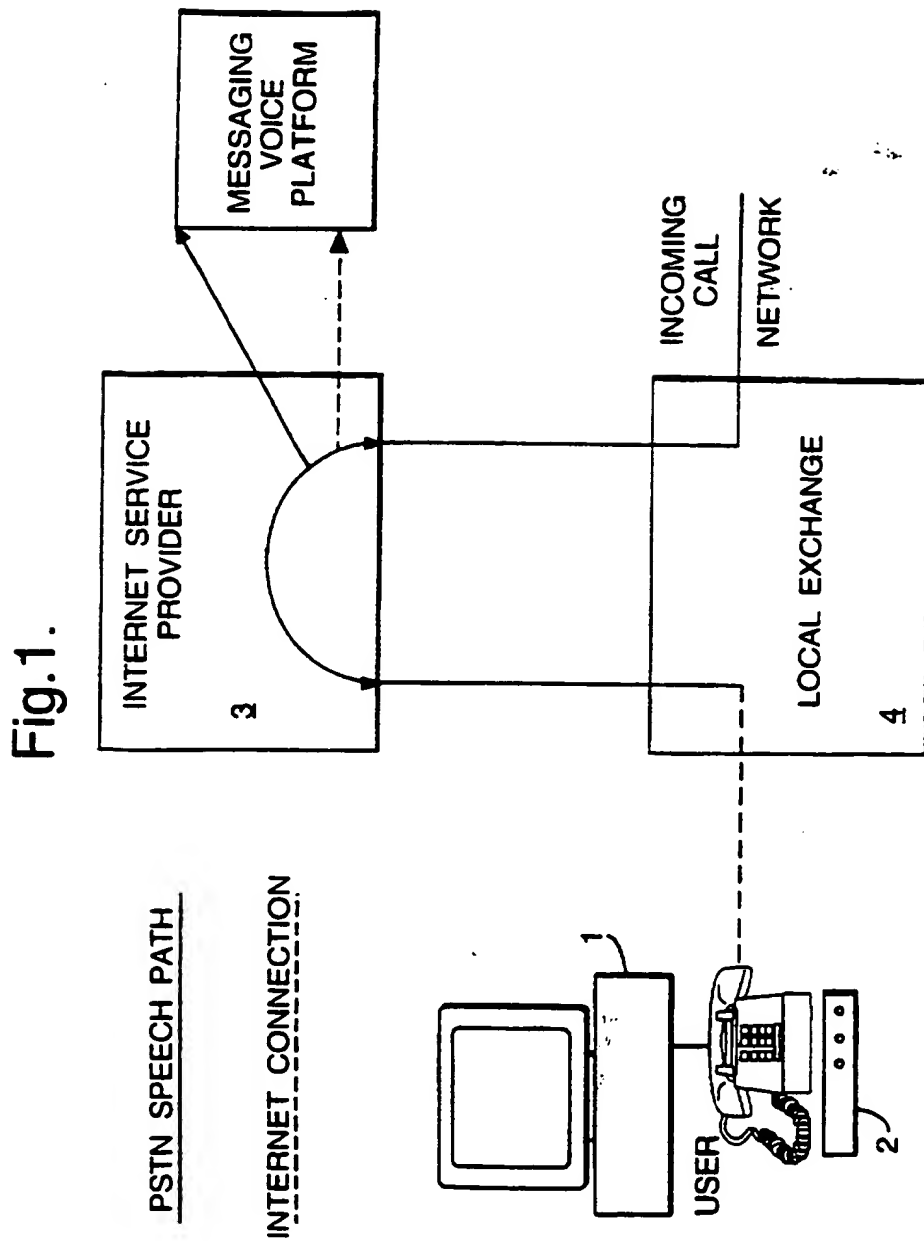
19. A method according to claim 18 further comprising:

- 5           d) suspending a data session on the data channel;  
          e) establishing a call with a third party; and  
          f) resuming the said data session after termination of the said call.

20. A method of operating a telecommunications network comprising:

- 10       (a) establishing a dial-up connection via the telecommunications network  
         between a user data terminal and a data services provider;  
         (b) transmitting a data channel over the dial-up connection; and  
         (c) transmitting a call waiting signal on the data channel to the user when  
a call is made to the user by a third party, the step including:  
15       (i) diverting to the data services provider calls which are intended for  
         the user; and  
         (ii) generating at the data services provider, in response to the  
         receipt of an incoming third party call, the call waiting signal for  
         transmission to the user.

20



2/9

Fig.2.

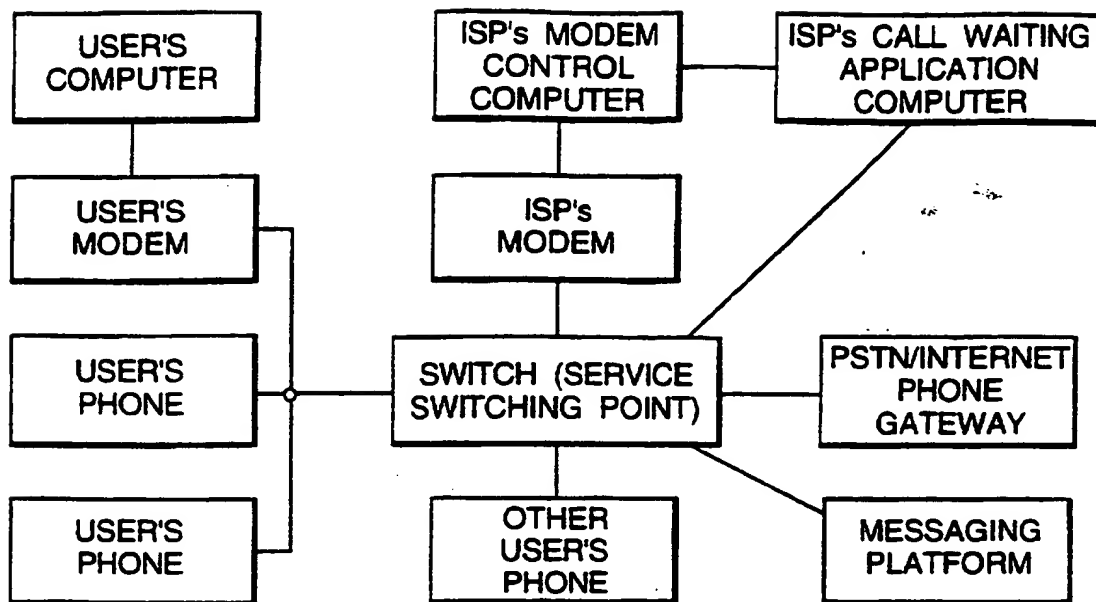
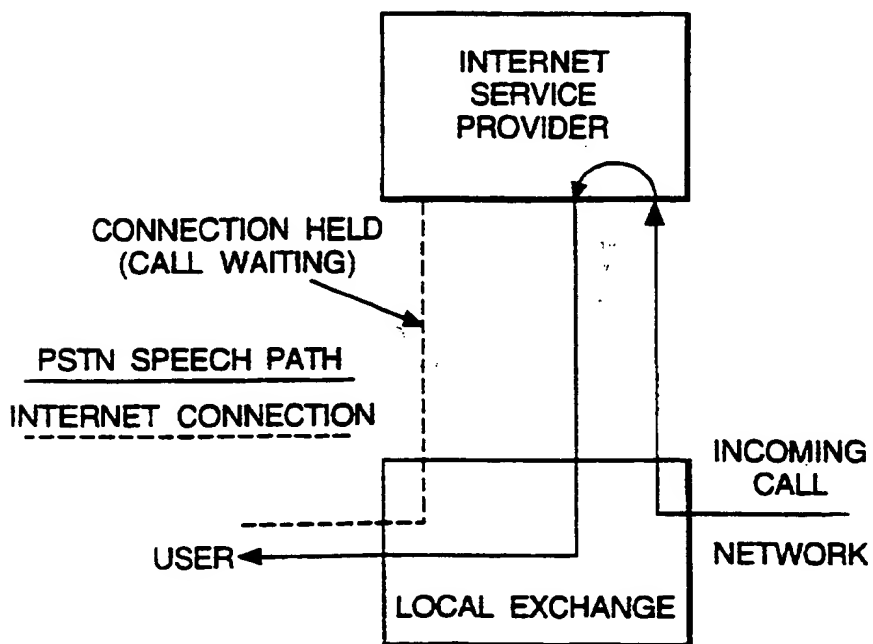


Fig.4.



3/9

Fig.3.

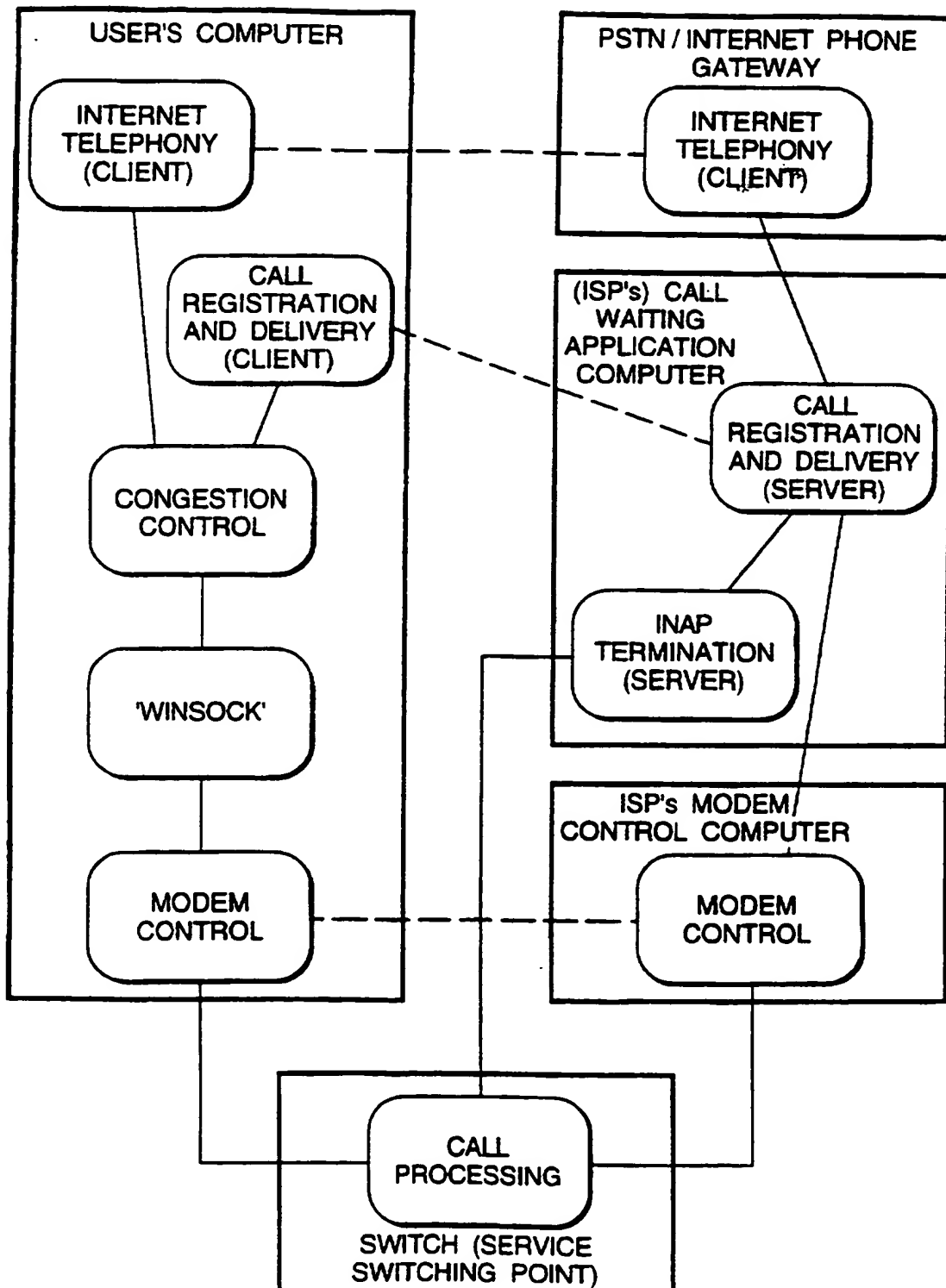


Fig.5.

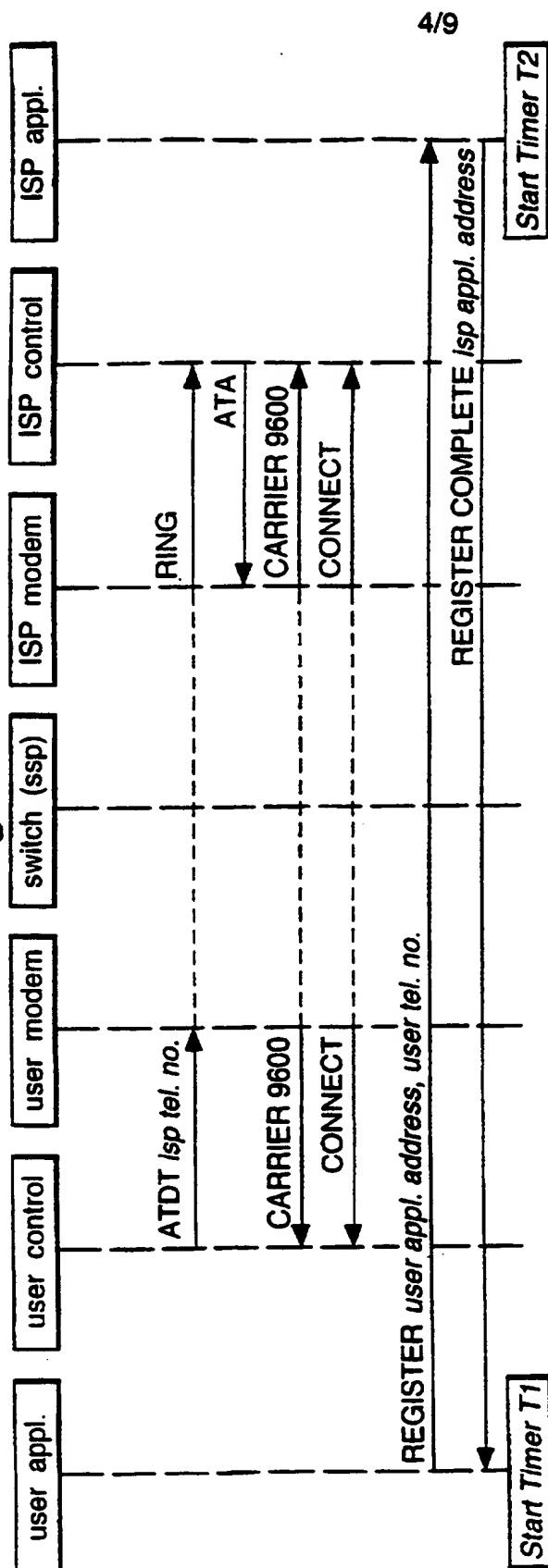


Fig.6.

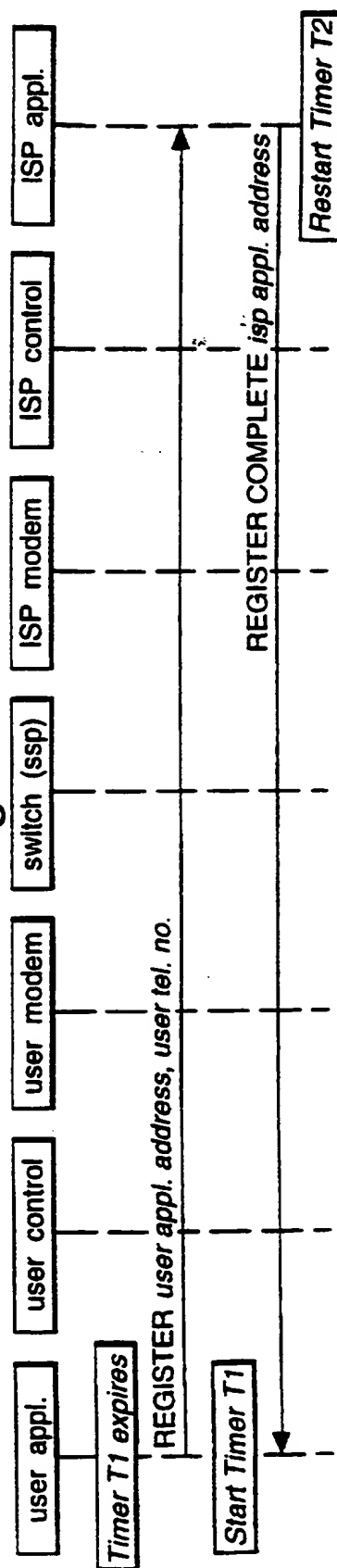


Fig.7.

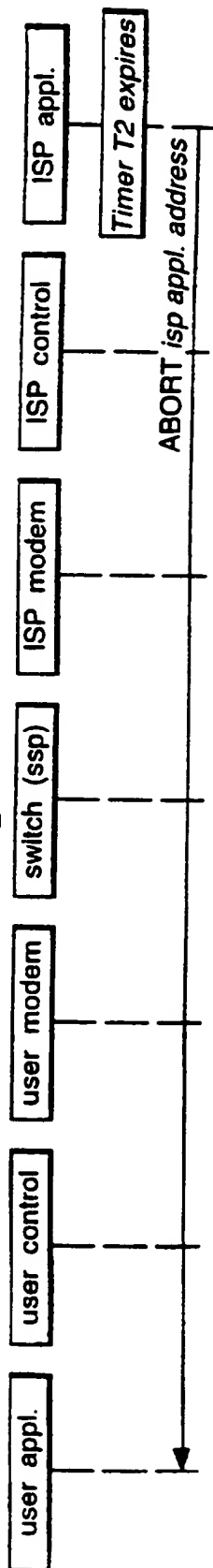


Fig.8.

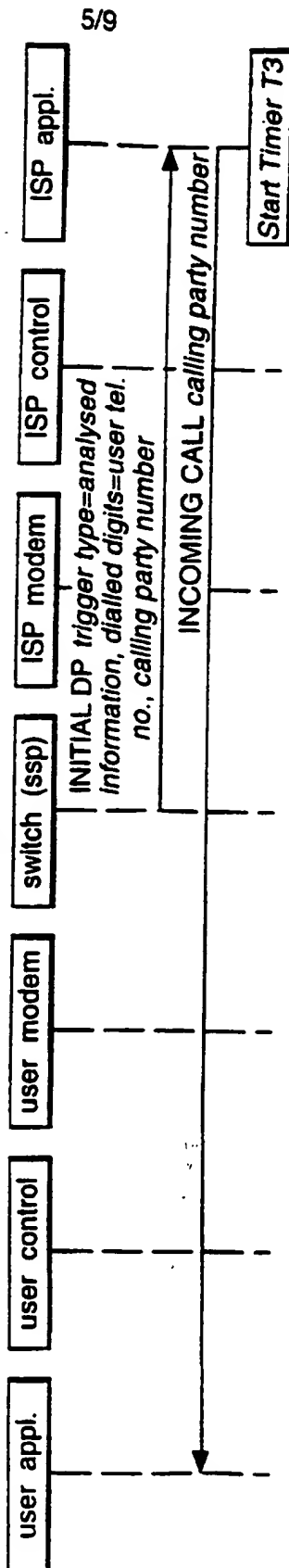


Fig.9.

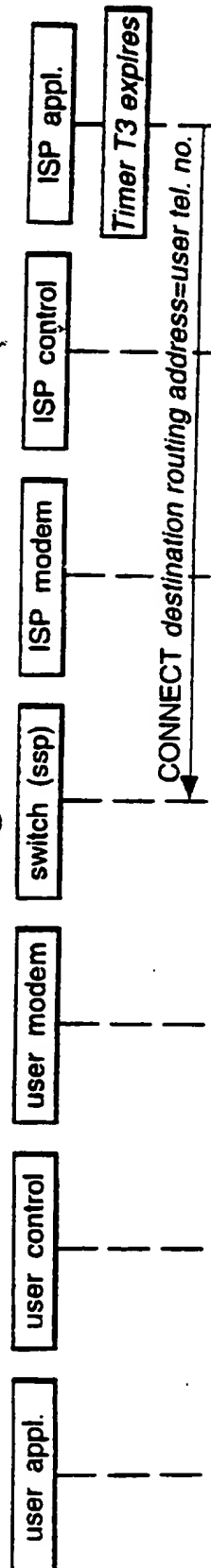


Fig.10.

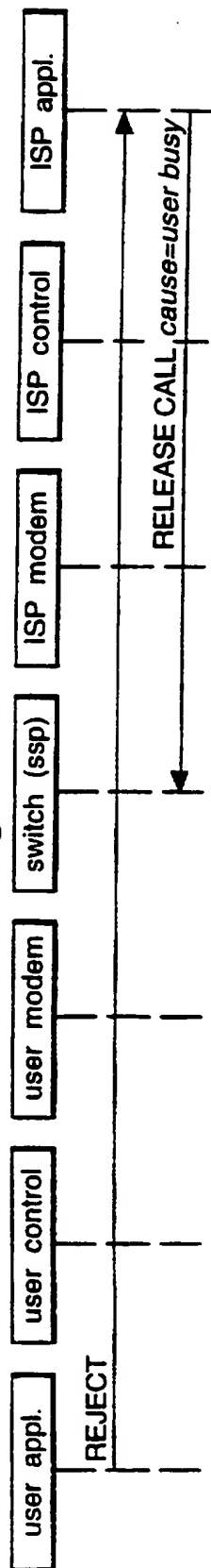
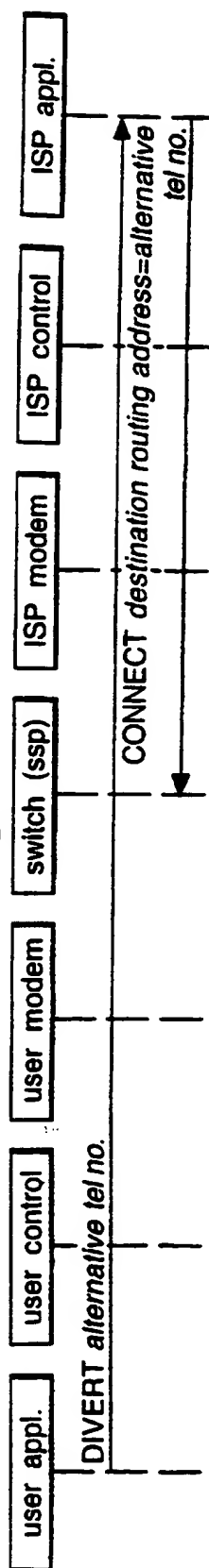
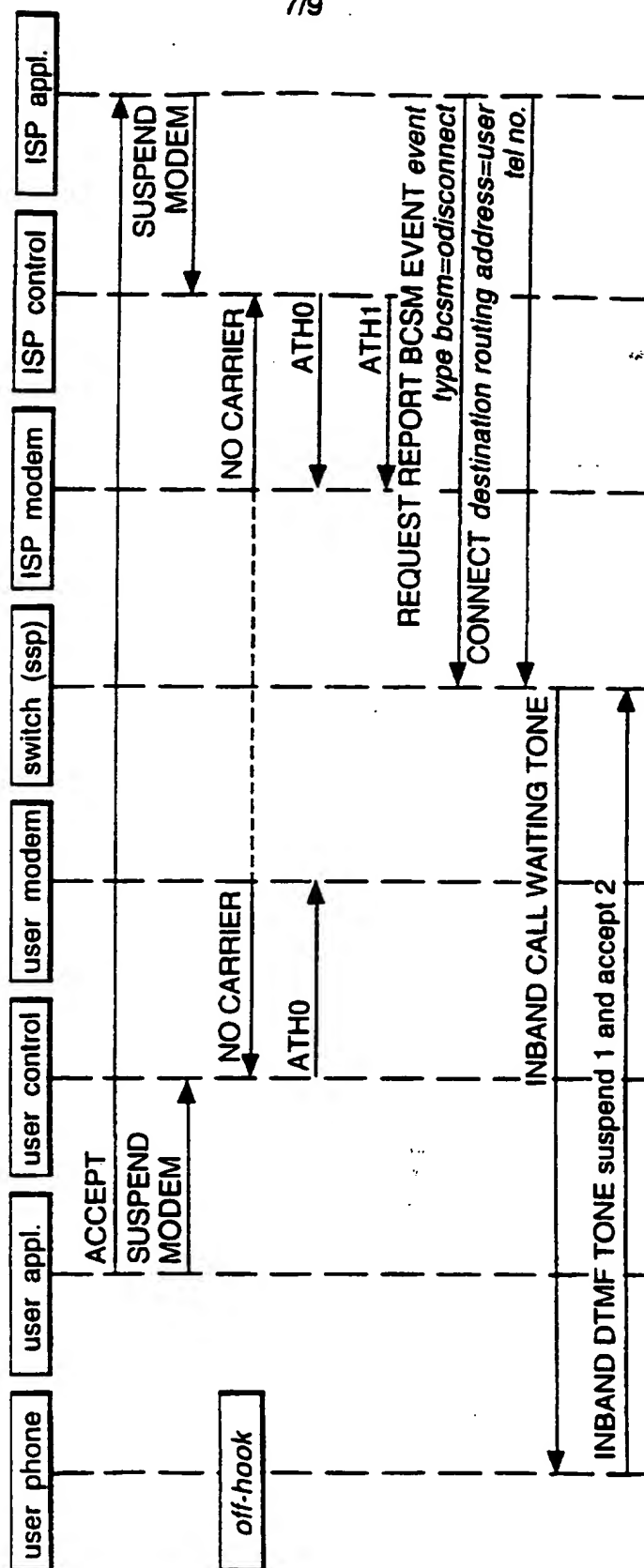


Fig.11.



7/9

Fig.12.



8/9

Fig. 13.

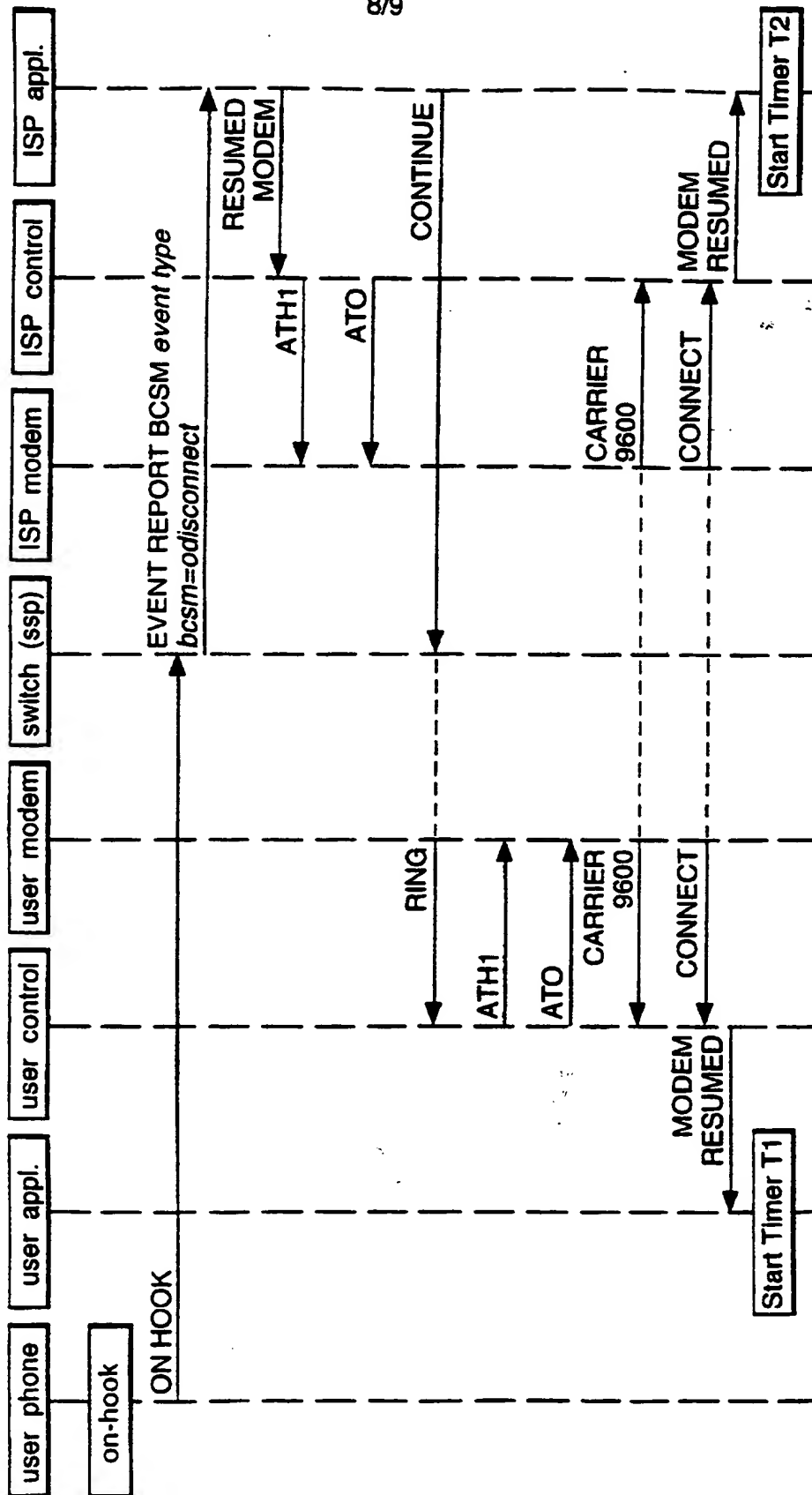
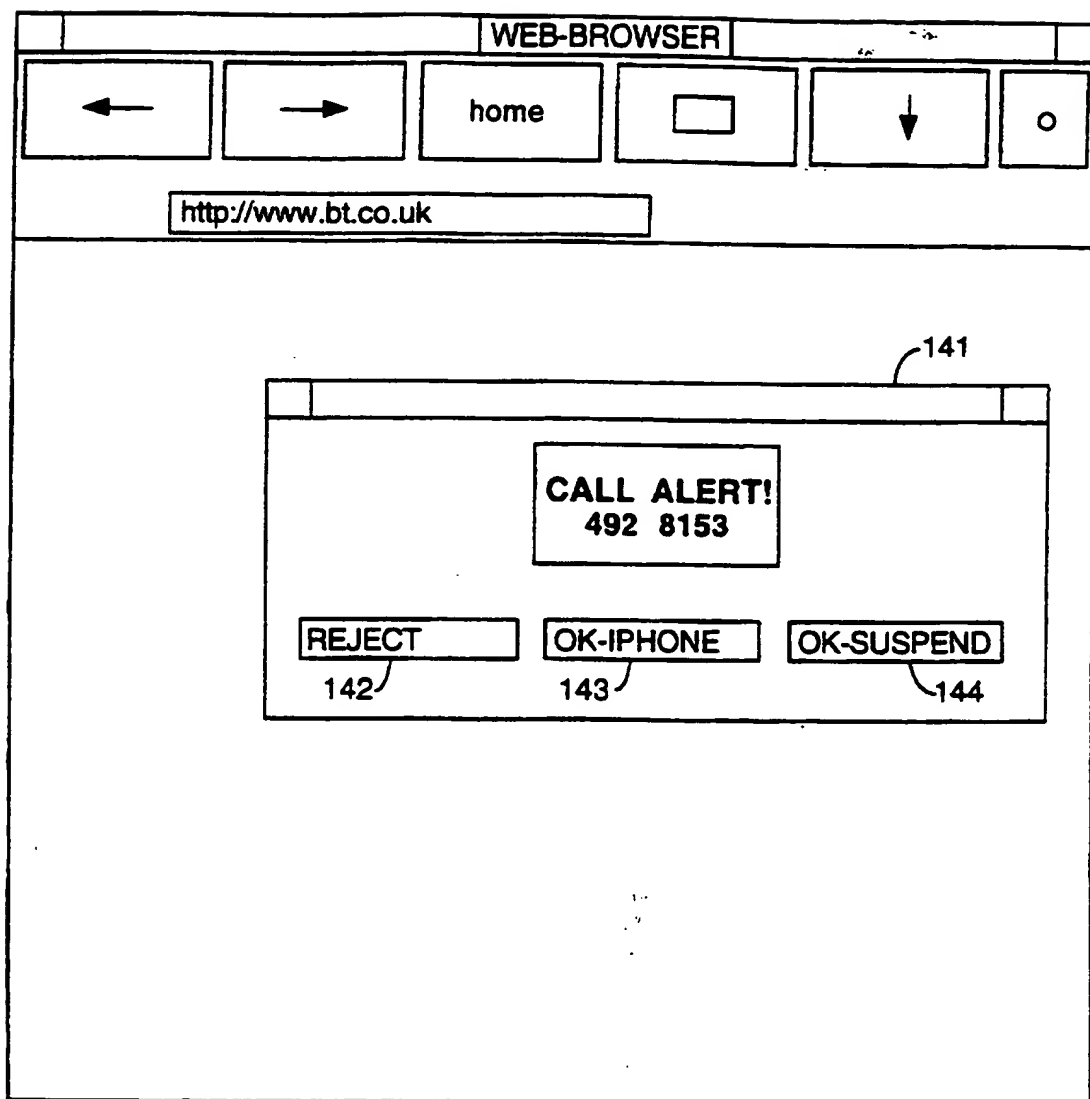


Fig.14.



# INTERNATIONAL SEARCH REPORT

Int. Application No  
PCT/GB 97/01700

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 6 H04M3/42 H04L29/06

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 H04M H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	WO 97 26749 A (INTERACTIVE TELECOM INC) 24 July 1997 see abstract see page 5, line 1 - line 9 see page 5, line 15 - page 7, line 9 see figures 1-5 ---	1-20
P,X	WO 97 20424 A (AT & T CORP) 5 June 1997 see abstract; figures 1-7 see claims 1-24 ---	1-20
A	US 4 995 074 A (GOLDMAN BRUCE J ET AL) 19 February 1991 cited in the application see abstract see column 2, line 11 - column 3, line 17 --- -/--	1-5, 11-15,20

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*G\* document member of the same patent family

Date of the actual completion of the international search

19 September 1997

Date of mailing of the international search report

25.09.97

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2200 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Megalou, M

# INTERNATIONAL SEARCH REPORT

Inter. Appl. Application No  
PCT/GB 97/01700

## C. (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 533 110 A (PINARD DEBORAH L ET AL) 2 July 1996 see column 1, line 64 - column 2, line 47 see column 2, line 33 - line 46 see column 6, line 6 - line 53 see claim 1; figure 8 ---	7-9, 17, 18
A	CA 2 138 565 A (SULTAN KAREEM) 21 June 1996 see the whole document ---	1-20
A	PROCEEDINGS OF THE INTERNATIONAL SWITCHING SYMPOSIUM, YOKOHAMA, OCT. 25 - 30, 1992, vol. 1 - 2, 25 October 1992, INSTITUTE OF ELECTRONICS; INFORMATION AND COMMUNICATION ENGINEERS, pages 127-131, XP000337709 HARRIS S ET AL: "INTELLIGENT NETWORK REALIZATION AND EVOLUTION: CCITT CAPABILITY SET 1 AND BEYOND" see the whole document ---	1-20
A	IBM TECHNICAL DISCLOSURE BULLETIN, vol. 37, no. 9, 1 September 1994, pages 101-104, XP000473347 "WORKSTATION COMMUNICATIONS SYSTEM" see the whole document -----	1-20

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 97/01700

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9726749 A	24-07-97	CA 2167215 A	16-07-97
WO 9720424 A	05-06-97	NONE	
US 4995074 A	19-02-91	NONE	
US 5533110 A	02-07-96	CA 2163948 A	30-05-96
		DE 19543870 A	30-05-96
		GB 2295747 A	05-06-96
CA 2138565 A	21-06-96	NONE	